



File No. 3330.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
David A. Balaban )  
)  
Serial No. 09/697,877 )  
)  
Filed: October 26, 2000 )  
)  
Title: Methods for Monitoring the )  
Expression of Alternatively )  
Spliced Genes )  
\_\_\_\_\_ )

Group Art Unit: 1645

Examiner: C. Wilder

Information Disclosure Statement  
Under 37 CFR §§1.56 and 1.97

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MAY 27 2003

TECH CENTER 1800/2000

Commissioner of Patents  
Washington D.C. 20231

Dear Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Form are called to the attention of the Examiner for the above patent application. The documents were first cited in a corresponding PCT patent application PCT/US01/13276, on April, 2003.

Citation of these documents shall not be construed as (1) an admission that the documents are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The undersigned hereby certifies that the items of information contained in this Information Disclosure Statement were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

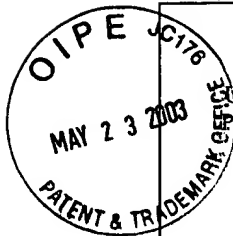
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Respectfully submitted,

Date: 05-20-2003

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PTO-1449 (Modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICESUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT  
BY APPLICANTATTY. DOCKET NO.:  
3330.2SERIAL NUMBER:  
09/697,877APPLICANT:  
David A. BalabanFILING DATE:  
October 26, 2000GROUP ART UNIT:  
1645

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	6,043,080	3/28/00	Lipshutz et al.	435	287.2	12/11/98

**RECEIVED**  
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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLA TION YES/NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.

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1645

PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/697,877	
	<b>Filing Date</b>	October 26, 2000	
	<b>First Named Inventor</b>	David A. Balaban	
	<b>Group Art Unit</b>	1645	
	<b>Examiner Name</b>	C. Wilder	
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b>	3330.2/US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Small Entity Statement  <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">Return postcard Form 1449 One (1) Reference</div>
<b>Remarks</b>		<div style="text-align: center;"><b>RECEIVED</b> MAY 27 2003 TECH CENTER 1600/2900</div>

The Commissioner is authorized to charge any fees or credit any overpayments associated with this application to Deposit Account No. 01-0431.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Leticia R. Block, Reg. No.: 50,167
Signature	<i>Leticia R. Block</i>
Date	05-20-2003

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: <span style="border: 1px solid black; padding: 2px;">5/20/03</span>			
Typed or printed name	Sylvia Rogers		
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